

Iridium-Catalyzed [3 + 2] Annulation of 1,3-Dienes with *ortho*-Carbonylated Phenylboronic Acids. A Catalytic Process Involving Regioselective 1,2-Addition

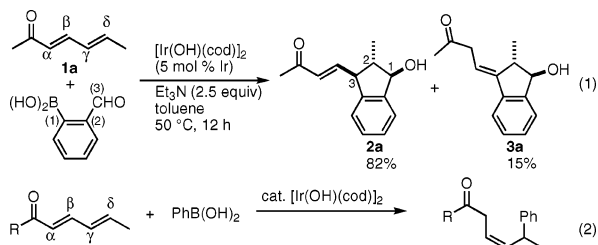
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Use of iridium complexes as catalysts for carbon–carbon bond forming reactions has recently attracted increasing attention because they are capable of providing synthetically useful transformations characteristic to the iridium catalysis.¹ Here we wish to report a new type of annulation reaction where an iridium(I) complex catalyzes the reaction of 1,3-dienes with *ortho*-carbonylated phenylboronic acids giving indanol derivatives with high regioselectivity. Although several addition–cyclization reactions using *ortho*-functionalized arylboronic acids have been reported under rhodium catalysis,^{2,3} the present iridium-catalyzed reaction is substantially different from these rhodium-catalyzed reactions in the reaction pathway.

The new type of annulation reaction was found to take place with $[\text{Ir}(\text{OH})(\text{cod})]_2$ ⁴ as a catalyst. Thus, 3,5-heptadien-2-one (**1a**) was allowed to react with 2-formylphenylboronic acid (2 equiv) in the presence of 5 mol % (Ir) of $[\text{Ir}(\text{OH})(\text{cod})]_2$ and triethylamine (2.5 equiv) in toluene at 50 °C for 12 h to give 82% yield of 2,3-disubstituted 1-indanol **2a** together with 15% yield of its olefinic double bond isomer **3a** (eq 1). An X-ray crystallographic analysis determined the structure of **2a** to be as shown in eq 1, indicating that two carbon–carbon bonds were formed between C(γ) of dienone **1a** and C(1) of 2-formylphenylboronic acid and between C(δ) and formyl carbon C(3). The relative stereochemistry in indanol **2a** is 1,2-*trans* and 1,3-*cis*. Considering that the iridium-catalyzed reaction of dienone **1a** with phenylboronic acid forms the carbon–carbon bond at the C(δ) position (eq 2),⁵ the regiochemistry forming C(γ)–C(1) bond observed in the present iridium-catalyzed cyclization is very unique, suggesting that the present reaction does not involve the conjugate addition (1,4- or 1,6-addition) of an aryl–iridium intermediate to **1a** in the catalytic cycle (vide infra).



The annulation forming indanol was also catalyzed by $[\text{IrCl}(\text{cod})]_2$, whereas $[\text{IrCl}(\text{coe})]_2$ or $[\text{IrCl}(\text{coe})]_2/\text{binap}$ did not catalyze the reaction. It is likely that 1,5-cyclooctadiene (cod) works as an effective ligand throughout the reaction. The reaction was not catalyzed by rhodium complex $[\text{Rh}(\text{OH})(\text{cod})]_2$ under the same reaction conditions.

The results obtained for the iridium-catalyzed annulation with various types of 1,3-dienes are summarized in Table 1, which contains three characteristic features (i)–(iii) on the reactivity and

Table 1. Iridium-Catalyzed Annulation of 1,3-Dienes with 2-Formylphenylboronic Acid^a

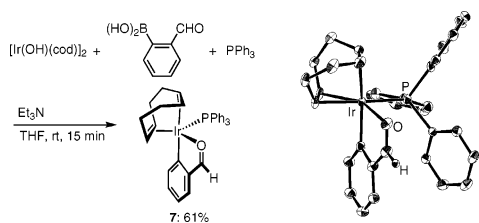
entry	dienone	product	conditions	isolated yield (%)
1	1b	2b	80 °C/6 h	92
2	1c	2c	80 °C/3 h	97
3 ^b	1d	2d	80 °C/12 h	2d : 65 4d : 11
		4d		
4	1e	2e	50 °C/24 h	53
5	1f	2f	80 °C/3 h	89
6	1g	2g	50 °C/12 h	92 (2g / 5g = 82/18)
		5g		
7	1h	2h	50 °C/3 h	29
8 ^c	1f	6f	80 °C/24 h	73

^a Reaction conditions: 1,3-diene **1** (0.30 mmol), 2-formylphenylboronic acid (0.60 mmol), $[\text{Ir}(\text{OH})(\text{cod})]_2$ (5 mol % Ir), toluene (0.9 mL).

^b 2-Formylphenylboronic acid (1.20 mmol) and Et₃N (1.35 mmol) were used.

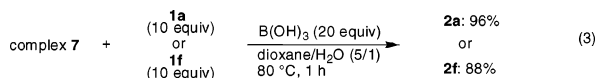
^c 2-Acetylphenylboronic acid was used.

the regioselectivity. (i) The scope of 1,3-diene is broad, both substituted with electron-donating alkyl groups and with electron-withdrawing groups being good substrates to produce indanols in high yields. (ii) Of the two double bonds constituting 1,3-diene substrates, the more electron-rich one participates in the reaction. (iii) Of the two carbons of the reacting double bond in 1,3-diene, the terminal carbon forms a bond with the carbonyl carbon of 2-formylphenylboronic acid.⁶ In entries 1 and 2, dienamide **1b** and dienone **1c** underwent the reaction at the double bond far away from the carbonyl group with the perfect regioselectivity, as shown

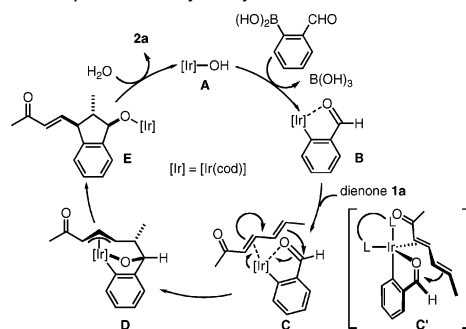
Scheme 1. Structure of Iridium(I) Complex **7**

in the features (ii) and (iii), irrespective of the position of the methyl substituent. The same regioselectivity was observed for 1-phenyl-1,3-butadiene (**1d**) where the phenyl group works as an electron-withdrawing group, the reaction being accompanied by formation of a minor amount of indanone **4d** (entry 3). Entries 4–6 demonstrate that electron-rich dienes substituted with alkyl groups, 2,3-dimethyl-1,3-butadiene (**1e**), isoprene (**1f**), and 1,3-decadiene (**1g**), are also reactive toward the present annulation. The regiochemistry observed for the electron-rich unsymmetrical dienes **1f** and **1g** is consistent with that for electron-deficient dienes **1a–1d**. Thus, the reaction took place preferentially at the more electron-rich double bond due to the alkyl substitution, although the regioselectivity was not perfect for **1g** (82/18). The reaction of 1,3-cyclohexadiene (**1h**) gave a modest yield of tricyclic alcohol **2h** where the indanol skeleton has a 1,2,3-*cis* structure (entry 7). The cyclization was also successful using 2-acetylphenylboronic acid giving 73% yield of *tert*-alcohol **6f** in the reaction with isoprene (**1f**) (entry 8). It should be noted that the annulation reaction did not take place with monoenes such as styrene or 1-octene.⁷

We succeeded in isolating and characterizing an iridium complex containing 2-formylphenyl group and cod ligand, which gave us significant information on the mechanism of the present iridium-catalyzed reaction. Thus, treatment of $[\text{Ir}(\text{OH})(\text{cod})]_2$ with 2-formylphenylboronic acid and triphenylphosphine in the presence of triethylamine at room temperature brought about a selective formation of aryliridium(I) complex **7**, which was isolated in 61% yield (Scheme 1). As shown in its X-ray crystal structure, a central iridium(I) has a distorted trigonal bipyramidal orientation with a carbon atom of the aryl group on the apical site, and the other apical site is occupied by an alkene part of the chelating cod. A carbonyl oxygen in the equatorial site coordinates to the iridium center intramolecularly, creating a planer metallacyclic structure. The other equatorial sites are occupied by the remaining alkenic linkage and a phosphorus atom.⁸ This complex **7** must be formed by transmetalation of the 2-formylphenyl group from boron to iridium and is stabilized by coordination of triphenylphosphine. The intermediacy of the 2-formylphenyliridium complex in the catalytic cycle was supported by stoichiometric reactions of the complex **7** with an excess amount of dienes **1a** and **1f**, which gave high yields of the cyclization products **2a** and **2f**, respectively, with the same regioselectivity as that in the catalytic reactions (eq 3).



On the basis of the regiochemistry observed in the annulation reactions and the structure of the complex **7**, the catalytic cycle of the present reaction is proposed as illustrated in Scheme 2. Transmetalation of a 2-formylphenyl group to the iridium center forms a 2-formylphenyliridium(I) species **B**. Nucleophilic attack of the electron-rich terminal carbon of diene on the formyl carbon in intermediate **C**, where the other double bond of diene is coordinated to iridium, forms a π -allyliridium(III) **D** via the oxidative cyclization.⁹ In this step, the formyl group is activated

Scheme 2. Proposed Catalytic Cycle

by coordination to the iridium center to facilitate the attack of a relatively electron-rich alkene. Meanwhile, the iridium center becomes more electron-rich, resulting in increase of back-donation of the electron to a relatively electron-poor double bond. The complex **D** undergoes reductive elimination forming a carbon–carbon bond to give an alkoxoiridium(I) **E**, and subsequent hydrolysis gives the indanol and regenerates the hydroxoiridium **A**. The stereoselectivity of the product **2a** may be derived from the formation of the π -allyliridium **D** via the five-coordinate intermediate **C'** analogous to the complex **7**.

In summary, we have found a new type of annulation reaction of 1,3-dienes with 2-carbonylated phenylboronic acids giving indanol derivatives with high regio- and stereoselectivity by use of an iridium catalyst.

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Supporting Information Available: Experimental procedures and spectroscopic and analytical data for the substrates and products (pdf) and X-ray data (CIF). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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